

Dear Matthew,

I hope the following notes and sketches are of some use for your attempt. The sketches should not be taken as exact representations of what actually happens but rather what might happen, i.e. the dominant flow in interior ~~can~~ flows against the wind direction. Actually such an explanation observation in the Pacific had some influence on the development of what is called Sverdrup dynamics ~~since~~ some 40 years ago.

Also within the Sverdrup frame it is not quite possible to decide where the mass and vorticity <sup>(eastern or western boundary)</sup> is balanced by completely different dynamics which are much more subtle (<sup>flow</sup> intensification). Hence take care, especially at the Western boundary where "Sverdrup" <sup>mathematically possible</sup> blows up. ~~flat intensification~~ of the eastern boundary would blow up the Eastern and Western boundary Sverdrup regime.

Any way also take care with time dependent processes, again they are most prominently observed at Western Boundaries at  $\approx 100$  km off the coast. Rossby waves are dominant there and could cause trouble, also at your northern open boundary which - as a result - does not allow for leakage for the interior flow. At the eastern boundary  $\approx 20-30$  km slight boundary effects and Rossby and Kelvin waves could have an effect also.

Also, please excuse me that I am not quite on top, I am tired a little. Any way, I think there's a good class on more details and general ideas on the topic next semester here. Hope to see you then, yours Andreas

OK, but  
only tentatively  
flow is at  
your

- (1) Assume your flow in a flat, homogeneous ocean to be described by an angular momentum ( $\equiv$  potential vorticity) balance between a steady blowing wind torque and the variation with latitude of the locally normal component of the rate of rotation:

$$v_0 = \vec{k} \cdot \text{curl } \vec{\tau} \quad \checkmark \quad (\text{Pedlosky, 1979, p. 224})$$

$v_0$  is the first order velocity component in the North-South direction, it's a scaled unknown which together with pressure  $p$  and east-west velocity component  $u_0$  has to be solved for. To lowest (first) order\* the flow is along lines of background or ambient vorticity. It is called - in the absence of any first order forcing - geostrophic flow. The dilemma with this flow is that it is degenerated. We have only two equations for our three unknowns, hence we do not know why the flow is there or what is causing it. The additional statement about the conservation of potential vorticity (angular momentum), from which the above statement is an approximation, closes the dynamical system by considering forcing and higher order (smaller) effects.

In neglecting all other effects contributions to the potential vorticity balance except the ~~for~~ it was necessary that the "interior" or "depth averaged" or "geostrophic" velocity scale were

$$U = \checkmark \tau_0 / \rho \beta_0 D L = \checkmark 1 \text{ cm/sec}$$

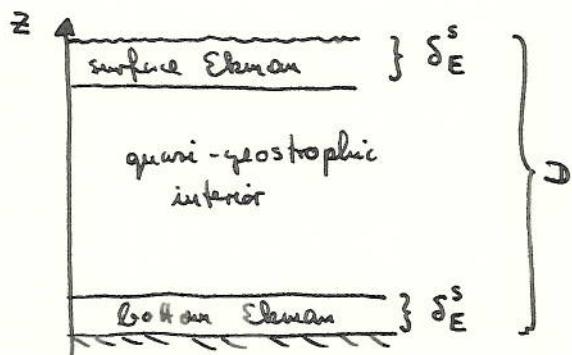
for a wind stress scale  $\tau_0$ , a water depth scale  $D$  (1km), a length scale associated with the wind stress  $L$  (1000 km),

and the local normal rate of rotation  $\omega$  being as

$$f = f_0 + \beta_0 y \quad (\beta\text{-plane approximation})$$

$$\text{with } f_0 \approx -10^{-4} \text{ sec}^{-1} \text{ and } \beta_0 \approx 10^{-13} \text{ cm}^{-1} \text{ sec}^{-1}$$

The next step and helpful simplification of the physics involved is to consider the ocean to be divided into 3 different regimes or layers in the vertical  $z$ :



direct)  
The action of the wind can be thought of as being restricted to a small Ekman layer of depth  $S_E^s$ . Here turbulent frictional stresses are dominant

and together with the Coriolis force form a good approximation of the (linear) momentum balance. The same applies for the flow near the bottom where the geostrophic interior flow is slowed down by frictional forces to satisfy the boundary condition of no slip (no tangential velocity immediately at the bottom).

Another assumption ( $E_g^{1/2} = S_E / D \ll 1$ ) leads to the very useful result that the horizontal pressure gradients in the Ekman layers are those of the geostrophic (or should I say quasi geostrophic?) interior.

A velocity scale for the flow ageostrophic flow in the Ekman layers can be found from a ~~momentum~~ balance between due to frictional stress variations forces and Coriolis forces forces:

$$P_f U_E \sim \frac{\partial \tilde{\tau}}{\partial z} \approx \frac{\tau_0}{S_E} \rightarrow U_E \sim \frac{2\tau_0}{P_f S_E} = 10 \text{ cm sec}^{-1}$$

A dimensional check would have shown missing  $P_f$ .

The stress  $\tau_0$  is taken as 1 dyne/cm<sup>2</sup>, the coriolis parameter  $|f|$  was  $10^{-4}$  sec and the depth is scaled with  $S_E$ , the Ekman layer depth (20 m)

The neglected terms in the vorticity balance all scaled as compared to  $v_0$  (<sup>representing</sup> vortex tube stretching / squashing due to planetary vorticity changes or  $\beta$ -effect - and the wind stress curl) as with

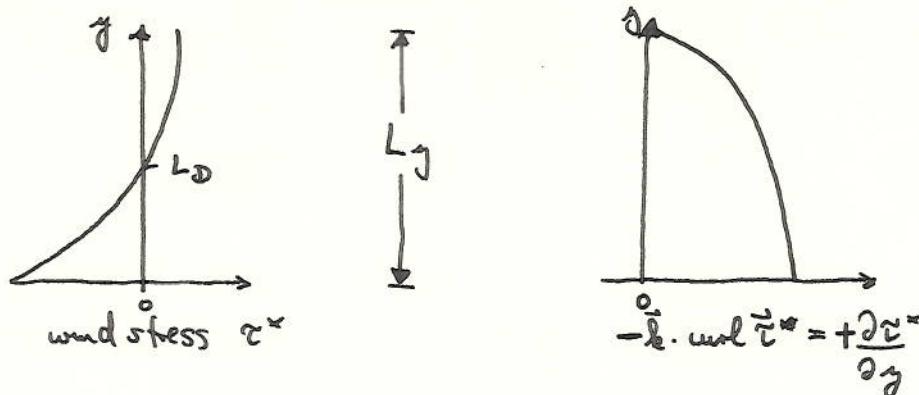
$$\beta^{-1} = \cancel{\frac{L^2}{\beta_0}} \frac{U}{L^2 \beta_0} = \begin{cases} \checkmark 10^{-3} & U = U_g = 1 \text{ cm sec}^{-1} \\ 10^{-2} & U = U_E = 10 \text{ cm sec}^{-1} \end{cases} \quad \text{only interior vel. is relevant}$$

Therefore, the relative vorticity, vortex tube stretching or squashing due to free surface variation, and a frictional torque associated with the drag at the bottom all can be at least two order of magnitude smaller than the  $\beta$  effect and the wind torque at free surface.

The approximation made seems to be justified *a posteriori*.

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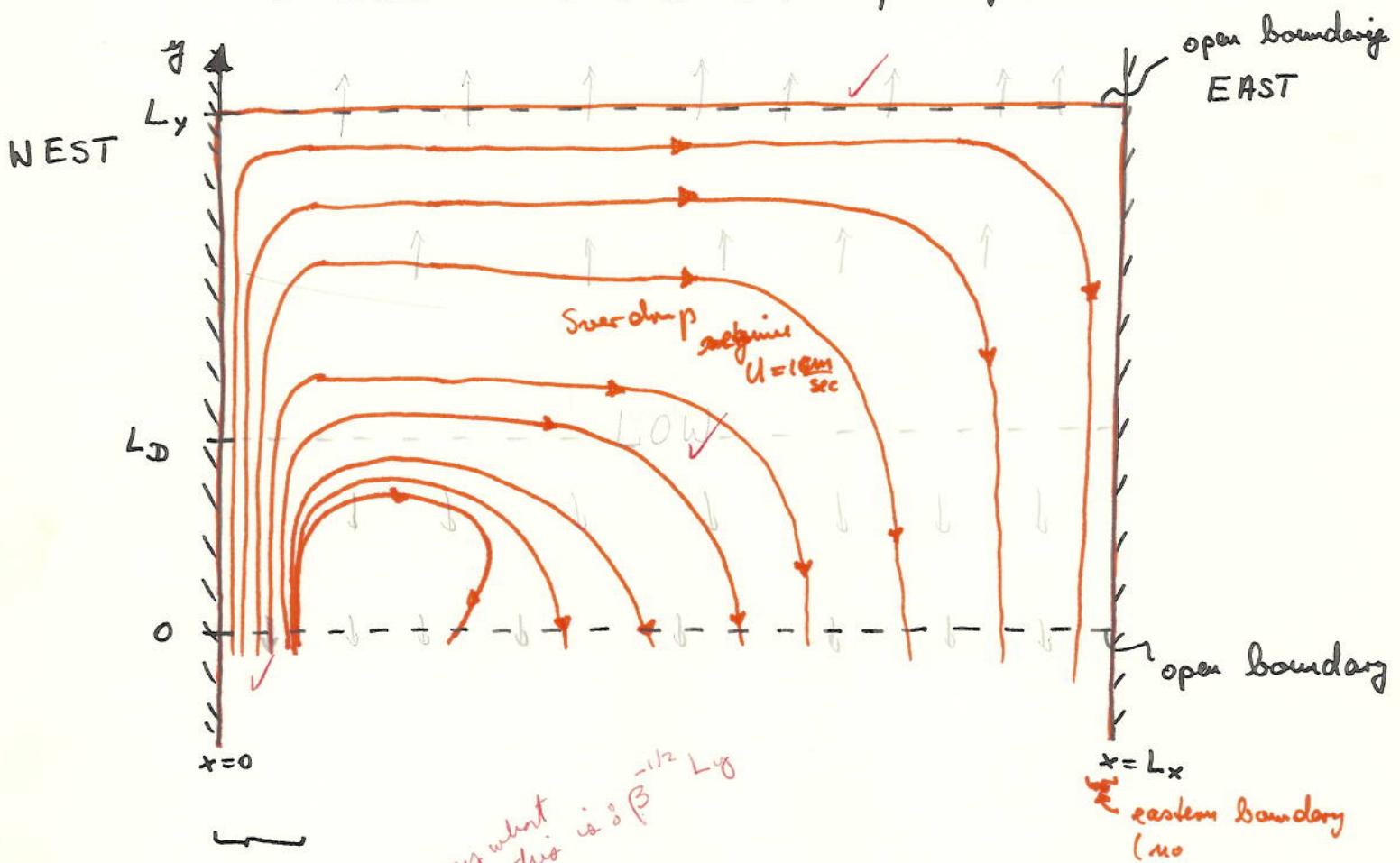
With a wind stress and wind stress curl distribution as



Wind stress dir. is reversed from intended, but this won't create other than reversed flow direction.

for the quasi geostrophic interior

I suggest the following large scale circulation pattern in a basin in the northern hemisphere of the earth:



✓ WBC, width dependent upon the "loosen" dynamics here (inertial B.C., heat in near linearly damped, or lateral friction etc)

say what scale this is  
 $\propto L_y^{1/2}$

streamlines in red (interior, quasi geostrophic)

surface Ekman mass flux in lead ✓

No lat. fric. here.

is near linearly damped, or lateral friction etc)

— The circulation in the surface Ekman layer is driven by the wind. The resulting Ekman mass flux over the surface Ekman layer of thickness  $\delta_E^s$  is to the left ('southern hemisphere') looking in the direction of the wind (formal  $\bar{M}_E = \bar{\tau} \times \bar{k} / \rho f$ ,  $f < 0$ ).

The wind stress is positive for  $y > L_D$  ~~OK~~ and negative for  $y < L_D$ , that is for  $y > L_D$  the surface <sup>to the</sup> Ekman mass flux is to the North north of  $L_D$  and South south of  $L_D$ .

This results <sup>in</sup> to a divergent flow regime in the surface Ekman layer with Ekman suction section. Also, it is, for the same reason, a low pressure centre.

The perturbation horizontal pressure gradient does not vary with depth in the Ekman layers because they are of the small Ekman number  $E_v^{-1/2} = \delta_E / D$ .

Geostrophic (interior) flow also is vertical uniform, too.

Therefore the low pressure over the model domain forces the interior to flow  $\rightarrow$  clockwise. This orientation is the only one consistent with a low pressure system in the Southern hemisphere.

It is important to note that the Ekman suction of fluid from the quasi-geostrophic interior due to the divergent surface Ekman flow is the driving agent of the interior flow.

— Next, the meridional velocity  $v_0$  (north-south) is directly proportional to the wind stress curl, which in this case is the first derivative of  $-\frac{\partial \bar{z}}{\partial y}$ .

This gradient is assumed to be zero at  $y = L_y$ , hence there is no quasi-geostrophic ~~no~~<sup>no</sup> interior flow at that position. It is a ~~zero~~ The streamline is zonal<sup>/</sup> here indicating a zonal flow only. If we would neglect boundary effects this would be a zero velocity stream line and there would be no flow interior flow at  $y = L_y$ . But boundary effects might render the situation, deviations from this ~~zero~~ interior flow at  $y = L_y$  should be not surprising (Rossby waves transmitting energy to the east, short Rossby waves) not only at  $L_y$  not no vorticity your sketch is correct

Because the wind stress is a monotonically increasing function with latitude, the proportional the wind stress curl is always negative over the entire modeled domain. Hence the ~~meridional~~ <sup>zonal</sup> flow in the interior  $v_y$  is always to the south within the validity of Sverdrups balance. It is the wind stress curl or torque which drives a ~~cross~~ <sup>flow</sup> iso ambient potential vorticity across lines of ambient vorticity (the  $\beta$ -ump here). The geostrophic flow would be entirely east-west, but the wind stress curl provides the torque. vorticity for a fluid particle to bend and, here, flow south and satisfy one boundary condition of no normal flow. It is not clear if this condition is to be satisfied at the Western or Eastern boundary. It is clear, though. Important here is that vorticity input from the wind stress curl is communicated to the interior via vertical suction or pumping (corresponding vortice tube stretching or squashing respectively) of fluid. It is not the direct action of the wind driving the quasi-geostrophic interior flow, it is the "higher order dynamics" of the Ekman layer which transform the horizontal wind stress curl into a  $\oint$  vorticity source for the interior. no, no, no  
are no geostr.  
vel. components.

- The reason, that I have chosen the western boundary current to balance the mass flux over the model domain is the following:

Note first that the interior eastward circulation was a result of the low pressure centre due to the divergent surface Ekman flux. Note also, dear Mathias, that as a result of the ~~wave~~ due to the wind stress. Note also, dear Mathias, that the Sverdrup balance supports with the given wind stress only ~~/~~ southward flow across iso-ambient-vorticity potential's due to the wind stress curl.

Hence only one ~~no slip~~ and no normal flow boundary condition can be satisfied within the steady Sverdrupian framework: the eastern boundary where the flow is to the south. Therefore I expect the solution depicted solution to be valid very close to the eastern boundary.

Very close to the boundaries, in any case (east or west), relative vorticity, velocity shear are getting important.

This offers another plausibility thought to place the broad dramatic breakdown of steady Sverdrupian flow ~~to~~ at the western instead of at the eastern boundary: consider a vorticity tendency of a fluid particle east and west. The wind stress curl is the same east and west, & no ~~/~~ difference. To satisfy no slip boundary conditions the northward flow at the West ~~too~~ induces a counter-clockwise rotation (or relative vorticity due to velocity shear: ). The situation is reversed at the

OK, but  
not possible  
here.

eastern boundary but leads to the same "vorticity tendency":

). The only "vorticity" difference, preventing

hold

an easy vorticity balance throughout the basin  
is the  $\beta$ -effect, because in the east particles move  
toward smaller ambient vorticity whereas in the West they  
move toward bigger ambient vorticity.

- 40  
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- Also, our scaling and steady wind stress lead us directly to a steady quasi-geostrophic flow and an a steady Ekman flow. If we are forced to allow for relative vorticity near the Western Boundary, then the flow is not necessarily time independent or linear anymore. Rossby waves might become important, especially, at the western boundary, because they transmit energy or signal (i.e. frictional dissipation) only very slowly away from the boundary. By (3.14.25) only western b.l. is possible if flow is onshore. But yours is offshore, so generation of Rossby waves is indicated as you suggest. You've made the best possible argument, given the reversed  $\beta$ . ok

- (3) The Western Boundary current was introduced to mass and vorticity balanced in the interior, because all streamlines away from the Western Boundary, were leaving the domain. ✓

On the other side the quasi-geostrophic interior flow has to satisfy a no slip condition at the bottom, hence the interior is "wetting" or is a driving agent for a bottom Ekman flow, which (due to the low pressure centre) results in a flow convergent Ekman bottom flow with fluid being pumped out of the into the interior. But both Ekman layers are connected with the surface and bottom layers outside the domain and also (see fig. 3) could possibly balance themselves, i.e. the interior gain of fluid from the bottom is lost to the surface Ekman layer. Also the due direct contribution in terms of mass fluxes from the Ekman layers should be negligible. OK

if compared with the geostrophic first order mass flux out of the model domain.

*Actually the surface E.L. vert. flux will make a difference, but the central point is that the WBL balances most*

- 7/8 (4) The wind is normal to both coasts, hence I do not expect considerable up or downwelling at the coast.

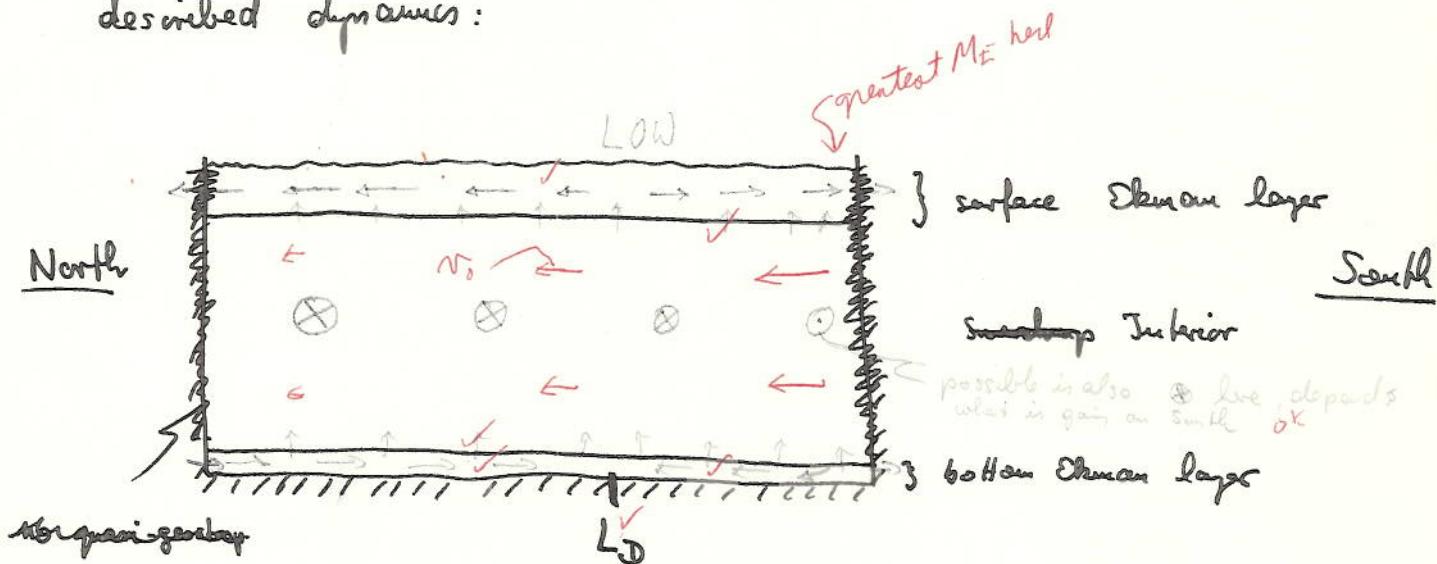
*of the mass flow.*

Nevertheless in both bottom and surface Ekman layers the velocity spirals (Ekman spiral), that is within the Ekman layers locally there are velocity components at some depth which violate the no normal flow at boundaries. These are the only possibilities I can think about which could result to (local) up or downwelling. This 'welling' is balanced entirely in the Ekman layers.

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- (5) A meridional cut through the model domain at  $x = L_x/2$  represents derived from the formerly described dynamics:

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The flow is not symmetric in East-West direction nor is it in North-South.

The interior relative (first order) vorticity is clockwise, that is negative.